

EMIR Statement

Full legal name of the Client being a legal person or an organizational entity and no. in the registry of commerce:	
LEI Code:	
XTB Login:	
Name, surname and position of the person authorized to represent the Client (in case of legal persons or organizational entities)	
Address:	

I hereby state that in accordance with Regulation EMIR as the Client of XTB I am (*please mark either point 1 or point 2*):

1. Financial Counterparty (please mark one of the following boxes):

- Assurance undertaking authorised in accordance with Directive 2009/138/EC of the European Parliament and of the Council;
- Credit institution authorised in accordance with Directive 2013/36/EU of the European Parliament and of the Council;
- Investment firm authorised in accordance with Directive 2004/39/EC of the European Parliament and of the Council;
- Insurance undertaking authorised in accordance with Directive 2009/138/EC;
- Alternative investment fund managed by Alternative Investment Fund Managers (AIFMs) authorised or registered in accordance with Directive 2011/61/EU of the European Parliament and of the Council;
- Institution for occupational retirement provision within the meaning of Article 6(a) of Directive 2003/41/EC of the European Parliament and of the Council;
- Reinsurance undertaking authorised in accordance with Directive 2009/138/EC;
- Undertakings for the Collective Investment in Transferable Securities (UCITS) and its management company, authorised in accordance with Directive 2009/65/EC of the European Parliament and of the Council.

2. Non-financial counterparty (please mark only (a) or (b) and then a business sector):

- (a) I exceeded the clearing threshold (Non-financial counterparty "plus")

*EMIR – EUROPEAN MARKET INFRASTRUCTURE REGULATION:
Clearing thresholds:*

The clearing thresholds values for the purpose of the clearing obligation shall be:

- (a) EUR 1 billion in gross notional value for OTC credit derivative contracts;
- (b) EUR 1 billion in gross notional value for OTC equity derivative contracts;
- (c) EUR 3 billion in gross notional value for OTC interest rate derivative contracts;
- (d) EUR 3 billion in gross notional value for OTC foreign exchange derivative contracts;
- (e) EUR 3 billion in gross notional value for OTC commodity derivative contracts and other OTC derivative contracts not provided for under points (a) to (d).

(b) I did not exceed the clearing threshold (Non-financial counterparty "minus")

In addition, I conduct business activity in the following sector/-s:^{1,2,3,4}

- Agriculture, forestry and fishing;
- Mining and quarrying;
- Manufacturing;
- Electricity, gas, steam and air conditioning supply;
- Water supply, sewerage, waste management and remediation activities;
- Construction;
- Wholesale and retail trade, repair of motor vehicles and motorcycles;
- Transportation and storage;
- Accommodation and food service activities;
- Information and communication;
- Financial and insurance activities;
- Real estate activities;
- Professional, scientific and technical activities;
- Administrative and support service activities;
- Public administration and defence; compulsory social security;
- Education;
- Human health and social work activities;
- Arts, entertainment and recreation;
- Other service activities;
- Activities of households as employers; undifferentiated goods — and services — producing activities of households for own use;
- Activities of extraterritorial organisations and bodies.

¹ Pursuant to Statistical classification of economic activities in the European Community (NACE) as defined in Regulation (EC) No 1893/2006 of the European Parliament and of the Council.

² Where more than one business activity is reported, they should be ranked in order of the relative importance, starting from 1, where 1 means the main economic activity, 2 – the sector of minor importance, etc.

³ Closest type/-s of activity shall be indicated.

⁴ Sectors shall be indicated only by non-financial counterparties.

Date, signature, seal

Please send the scanned copy of this form, filled and properly signed to: **emir@xtb.com**